



**NATIONAL IRRIGATION BOARD (NIB)
ISO 9001:2008 Certified**

PREQUALIFICATION DOCUMENT

PREQUALIFICATION OF CONTRACTORS

TENDER NO. : NIB/T/003/2018-2019

**TENDER NAME: CONSTRUCTION WORKS OF HOUSEHOLD
IRRIGATION WATER STORAGE PROJECT,
PHASE 2**

DATE OF ISSUE: TUESDAY 10TH JULY 2018

**SUBMISSION DATE: TUESDAY 24TH JULY 2018 12.00 NOON
LOCAL TIME**

PROCURING ENTITY:

National Irrigation Board (NIB)

Unyunyizi House, Lenana Road, Hurlingham

P.O. Box 30372-00100

Nairobi, Kenya

Tel: + 254-20-2711380/468

Fax: +254-20-2722821/2711347/2723392

E-mail: purchasing@nib.or.ke, enquiries@nib.or.ke

Prequalification of Contractors:

Tender Name: Construction Works of Household Irrigation Water Storage Project, Phase 2

Tender No. NIB/T/003/2018-2019, National Irrigation Board, Nairobi, Kenya

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SECTION I - INVITATION FOR PREQUALIFICATION (IFQ)



NATIONAL IRRIGATION BOARD (NIB) ISO 9001:2008 Certified

TENDER NO. : NIB/T/003/2018-2019

TENDER NAME: CONSTRUCTION WORKS OF HOUSEHOLD IRRIGATION WATER STORAGE PROJECT, PHASE 2

1. The National Irrigation Board (hereinafter referred to as “Board”) is a Government parastatal under the Ministry of Water and Irrigation established in 1966 by an Act of Parliament, Chapter 347 of the Laws of Kenya, and whose mandate is the development, promotion and management of all national irrigation schemes in the country. In line with this mandate, the Board is currently managing seven national irrigation schemes and four research stations in various regions of the country while undertaking the implementation of new irrigation and drainage infrastructural projects in other parts of the country.
2. The National Irrigation Board, invites interested, registered and eligible contractors to submit their applications for prequalification for the following:

S. No.	Tender No.	Tender Name
1.	NIB/T/003/2018-2019	Construction Works Of Household Irrigation Water Storage Project, Phase 2

3. The applicants will be prequalified in accordance with the procedures set out in the Public Procurement and Asset Disposal Act, 2015 and subsequent Regulations, 2006, 2009, 2011 and 2013. Applicants must provide the requested qualification information as detailed in the prequalification document.

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4. The applicants may view and obtain the prequalification document free of charge from the Board's website: <http://www.nib.or.ke/procurement> & tenders or GoK's e-procurement portal, <http://www.supplier.treasury.go.ke>. Applicants who intend to submit their applications **MUST** promptly submit their names and contact details to: purchasing@nib.or.ke for communication of any clarification (s) and addendum (s) during the prequalification process.
5. Interested applicants may obtain further information from the Procurement and Supplies Office at the address below during office hours between **8.00 a.m. – 1.00 p.m.** and **2.00-5.00 p.m. hours** from **Monday to Friday** (except public holidays).
6. The completed and properly marked applications showing **tender number** and **tender name** description **and name of interested county(ies)** in writing in (one) 1 original and one (1) copy and English only must be delivered to the address below or deposited in the Tender Box located in the Reception Area on the Ground Floor of NIB Head Office, Unyunyizi House, Lenana Road, Hurlingham so as to be received on or before **1200 hours local time on Wednesday 24th July 2018**. Electronic bidding shall not be permitted. Late proposals shall be rejected. Proposals will be opened physically in the presence of the firms' representatives who choose to attend in person in the Nile Basin Board Room, NIB Compound, Lenana Road, Nairobi at the address below immediately after applications submission deadline.
7. The address referred to above is:

Physical Address:

General Manager
National Irrigation Board (NIB)
Unyunyizi House, First Floor, Room 309
Lenana Road, Hurlingham
Nairobi, Kenya
Tel: +254-20-2711380/468
Fax: +254-20-2722821/2711347
E-mail: enquiries@nib.or.ke, purchasing@nib.or.ke

GENERAL MANAGER/CEO
NATIONAL IRRIGATION BOARD

Prequalification of Contractors:

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SECTION II - INSTRUCTIONS TO CANDIDATES

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2.1 SCOPE OF TENDER

- 2.1.1 The National Irrigation Board hereinafter referred to as the procuring entity intends to prequalify contractors for Construction Works of Household Irrigation Water Storage Project, Phase 2, NIB/T/003/2018-2019. It is expected that prequalification applications will be submitted to be received by the procuring entity not later than **on or before 1200 hours local time on Tuesday 24th July 2018**
- 2.1.2 Prequalification is open to eligible contractors and voluntarily formed joint ventures as indicated in appendix to instructions to candidates.
- 2.1.3 General information on the climate, hydrology, topography, access to site, transportation and communications facilities, medical facilities, project layout, expected construction period, facilities, services provided by the procuring entity and (other relevant date) are as specified in the appendix to instructions to candidates. The contract will be a unit price/lump sum/turnkey contract. The tender documents will be issued to prequalified applicants with specific details of the goods, works or services and tendering requirements.

2.2 Submission of Application

- 2.2.1 Applications for prequalification shall be submitted in sealed envelopes marked with the tender name and reference number and deposited in the tender box at (address and location) or be addressed to:

**General Manager
National Irrigation Board (NIB)
Unyunyizi House, First Floor, Room 309
Lenana Road, Hurlingham
Nairobi, Kenya
Tel: +254-20-2711380/468
Fax: +254-20-2722821/2711347/2723392
E-mail: enquiries@nib.or.ke, purchasing@nib.or.ke**

so as to be received on or before **1200 hours local time on Tuesday 24th July 2018**

The procuring entity reserves the right to accept or reject late applications.

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- 2.2.2 The name and mailing address of the applicant may be marked on the envelope.
- 2.2.3 All the information requested for pre-qualification shall be provided in the English language. Where information is provided in any other language, it shall be accompanied by a translation of its pertinent parts into English language. The translation will govern and will be used for interpreting the information.
- 2.2.4 Failure to provide information that is essential for effective evaluation of the applicant's qualifications or to provide timely clarification or sub substantiation of the information supplied may result in the applicant's disqualification.
- 2.2.5 A consultations and clarifications meeting will NOT be held at which applicants may request clarifications of the prequalification document. Attendance of this meeting will be voluntary

2.3 Eligible Candidates

- 2.3.1 This invitation for pre-qualification is open to all candidates who are eligible as defined in Kenya's Public Procurement Law and regulations and as indicated in the appendix to instructions to candidates.
- 2.3.2 The procuring entity's employees, committee members, board members and their relative (spouse and children) are not eligible to participate in the tender unless where specially allowed under section 131 of the Act.
- 2.3.3 All terms found capable of performing the contract satisfactorily in accordance to the set prequalification criteria shall be prequalified.

2.4 Qualification Criteria

- 2.4.1 Pre-qualification will be based on meeting the minimum requirements to pass in the set criteria. The declaration will be either pass or fail regarding the applicant's general and particular experience, personnel and equipment capabilities and financial position as and other specified qualification requirements demonstrated by the applicant's response in the forms provided in Section IV and submitted with the letter of application. The procuring entity reserves the right to waive minor deviations if they do not materially affect the capability of an applicant to perform the contract.

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- 2.4.2 When highly specialized inputs (especially for execution of the contract) are required by the applicant from specialist sub-contractors, such subcontractors and their inputs shall be described in the Standard Form 1 (General Information)
- 2.4.3 General Experience. The applicant shall meet the minimum criteria as specified for each category.
- 2.4.4 Personnel capabilities. The applicant must have suitably qualified personnel and provide the requested details on the personnel qualification and experience.
- 2.4.5 Equipment capabilities. The applicant should own, or have assured access (through hire, lease, purchase agreement, availability of manufacturing equipment, or other means) key items of equipment in full good working order, and must demonstrate that, based on known commitments they will be available for use in the proposed contract.
- 2.4.6 Financial position. The applicant shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit and other financial means sufficient to meet the cash flow requirements for any contract that may be awarded, net of the applicant's commitments for other contracts.
- 2.4.7 The audited for the last three years (for companies only) shall be submitted and must demonstrate the soundness of the applicant's financial position, showing long-term profitability. Where necessary the procuring entity will make inquiries with the applicant's bankers.
- 2.4.8 Litigation history the applicant should provide accurate information on any litigation or arbitration resulting from contracts completed or under its execution over the last five years. A consistent history of awards against the applicant or any partner of a joint venture may result in failure of the application.

2.5 Joint Venture

2.5.1 Joint ventures must comply with the following:-

- (a) Following are the minimum qualification requirements.

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(i) The lead partner shall meet not less than 50% of all the stated qualifying criteria

(ii) The other partners shall meet individually not less than 10% of all the stated qualifying criteria

(iii) The joint venture must satisfy collectively the criteria of section 2.4, for which propose the relevant figures for each of the partners shall be added together to arrive at the joint ventures total capacity. Individual members must each satisfy the requirements of para 2.4.7 and 2.4.8 above.

(b) The formation of a joint venture after pre-qualification and any change in a prequalified joint venture will be subject to the written approval of the procuring entity prior to the deadline for submission of bids. Such approval may be denied if (i) partners withdraw from a joint venture and the remaining partners do not meet the qualifying requirements (ii) the new partners to a joint venture are not qualified, individually or as an another joint venture; or (iii) in the opinion of the procuring entity a substantial reduction in competition may result.

(c) Any future bid shall be signed so as to legally bind all partners, jointly and severally, and any bid shall be submitted with a copy of the joint venture agreement providing the joint and several liability with respect to the contract.

2.5.2 The pre-qualification of a joint venture does not necessarily pre-qualify any of its members individually as a member in any other joint venture or association. In case of dissolution of a joint venture, each one of the constituent firms may prequalify if it meets all the prequalification requirements, subject to a written approval of the procuring entity

2.6 Public Sector companies

2.6.1 Any public owned enterprise may be eligible to qualify if, in addition to meeting all the above requirements, it is also legally and financially autonomous, it operates under commercial law, and it is not a dependent agency of another public entity.

2.7 Conflict of Interest

2.7.1 The applicant (including all members of a joint venture) shall not be associated, nor have been associated in the past, with the consultant or any other entity that has prepared the design, specifications, and other prequalification and bidding

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documents for the project, or with an entity that was proposed as engineer for the contract. Any such association must be disclosed and may result in the disqualification of the applicant.

2.8 Updating Pre-qualification Information

- 2.8.1 Pre-qualified candidates shall be required to update the financial information used for pre-qualification at the time of submitting their bids and to confirm their continued compliance with the qualification criteria. A bid shall be rejected if the applicant's qualification thresholds are no longer met at the time of bidding.

APPENDIX TO INSTRUCTIONS TO CANDIDATES

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The following instructions for the pre-qualification of candidates shall supplement, complement or amend the provisions of the instructions to candidates.

Where there is a conflict between the provisions of the instructions to candidates and the provisions of the appendix, the provisions of the appendix herein shall prevail over those of the instructions to the candidates.

Note: To be completed appropriately by the procuring entity. Reference will be made to the relevant clause of the Instructions to Candidates.

Instructions to Candidates Reference	Particulars of appendix to instructions to candidates
2.1.2	THIS INVITATION FOR PRE-QUALIFICATION IS OPEN EXCLUSIVELY TO CONTRACTORS REGISTERED/INCORPORATED IN KENYA WITH WHOLE OWNERSHIP BY CITIZENS OF KENYA. CITIZEN FIRMS MAY ONLY ASSOCIATE OR FORM JOINT VENTURE WITH OTHER NON-CITIZEN CONTRACTORS REGISTERED/INCORPORATED IN KENYA TO ENHANCE THEIR CAPACITY TO MEET THE REQUIREMENTS FOR THE PREQUALIFICATION (S) PROVIDED THAT THE CITIZEN CONTRACTORS HAVE MAJORITY SHAREHOLDING IN THE FORMED ASSOCIATION/JOINT VENTURE. CITIZEN CONTRACTORS ASSOCIATING OR FORMING JOINT VENTURE WITH OTHER NON-CITIZEN CONTRACTORS REGISTERED/INCORPORATED IN KENYA MUST CLEARLY INDICATE SO AND PROVIDE DOCUMENTARY EVIDENCE WITH THEIR APPLICATION. ANY CONTRACTORS ASSOCIATING/FORMING JOINT VENTURE IN CONTRAVENTION OF THIS REQUIREMENT SHALL AUTOMATICALLY BE DISQUALIFIED. CERTIFIED COPY OF THE REGISTRATION TO BE SUBMITTED WITH THE APPLICATIONS AND VALID NATIONAL CONSTRUCTION AUTHORITY (NCA) VALID REGISTRATION CERTIFICATE.
2.2.2	THE NAME AND MAILING ADDRESS OF THE APPLICANT MAY BE MARKED ON THE ENVELOPE
2.2.5	A CONSULTATIONS AND CLARIFICATIONS MEETING WILL NOT BE HELD

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2.3.1	<p>THIS INVITATION FOR PRE-QUALIFICATION IS OPEN EXCLUSIVELY TO CONTRACTORS REGISTERED/INCORPORATED IN KENYA WITH WHOLE OWNERSHIP BY CITIZENS OF KENYA. CITIZEN FIRMS MAY ONLY ASSOCIATE OR FORM JOINT VENTURE WITH OTHER NON-CITIZEN CONTRACTORS REGISTERED/INCORPORATED IN KENYA TO ENHANCE THEIR CAPACITY TO MEET THE REQUIREMENTS FOR THE PREQUALIFICATION (S) PROVIDED THAT THE CITIZEN CONTRACTORS HAVE MAJORITY SHAREHOLDING IN THE FORMED ASSOCIATION/JOINT VENTURE. CITIZEN CONTRACTORS ASSOCIATING OR FORMING JOINT VENTURE WITH OTHER NON-CITIZEN CONTRACTORS REGISTERED/INCORPORATED IN KENYA MUST CLEARLY INDICATE SO AND PROVIDE DOCUMENTARY EVIDENCE WITH THEIR APPLICATION. ANY CONTRACTORS ASSOCIATING/FORMING JOINT VENTURE IN CONTRAVENTION OF THIS REQUIREMENT SHALL AUTOMATICALLY BE DISQUALIFIED. CERTIFIED COPY OF THE REGISTRATION TO BE SUBMITTED WITH THE APPLICATIONS AND VALID NATIONAL CONSTRUCTION AUTHORITY (NCA) VALID REGISTRATION CERTIFICATE.</p>
2.4	<p>PRE-QUALIFICATION WILL BE BASED ON MEETING THE MINIMUM REQUIREMENTS TO PASS IN THE SET CRITERIA. THE DECLARATION WILL BE EITHER PASS OR FAIL.</p>

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SECTION III - LETTER OF APPLICATION

Notes on letter of application

The letter of application will be prepared by the applicant and will follow the form presented herein.

The letter of application will be prepared on the letterhead paper of the applicant or partner responsible for a joint venture and will include full postal address, telephone numbers, fax number and Email address.

The letter of application will be signed by duly authorized representatives of the applicant.

Any clause which does not apply to the applicant in the letter of application herein shall be deleted by the applicant.

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SECTION III - LETTER OF APPLICATION

Date

To
.....
(name and address of the procuring entity)

Ladies and/or Gentlemen

1. Being duly authorized to represent and act on behalf of _____ (*name of firm*) (hereinafter referred to as “the Applicant”), and having reviewed and fully understood all of the pre-qualification information provided, the undersigned hereby apply to be prequalified by yourselves as a bidder for **CONSTRUCTION WORKS OF HOUSEHOLD IRRIGATION WATER STORAGE PROJECT, PHASE 2, NIB/T/003/2018-2019**

2. Attached to this letter are certified copies of original documents for all the requested information:

(a) (*list all the certified copies of documents attached*)

3. Your agency and its authorized representatives are hereby authorized to conduct any inquiries or investigations to verify the statements, documents, and information submitted in connection with this application, and to seek clarification from our bankers and clients regarding any financial and technical aspects. This letter of Application will also serve as authorization to any individual or authorized representative of any institution referred to in the supporting information, to provide such information deemed necessary and as requested by yourselves to verify statements and information provided in this application, such as the resources, experience, and competence of the Applicant.

4. Your agency and its authorized representatives may contact the following persons for further information.

General and Managerial enquiries	
Contract 1	Telephone 1
Contract 2	Telephone 2

Personnel enquiries	
Contract 1	Telephone 1
Contract 2	Telephone 2

Technical enquiries	
Contract 1	Telephone 1
Contract 2	Telephone 2

Financial enquiries	
Contract 1	Telephone 1
Contract 2	Telephone 2

5. This application is made with the full understanding that:
- (a) bids by prequalified applicants will be subject to verification of all information submitted for prequalification at the time of bidding.
 - (b) Your agency reserves the right to:
 - (i) amend the scope and value of any contracts bid under this project; in such event, bids will only be called from prequalified bidders who meet the revised requirements; and
 - (ii) reject or accept any application, cancel the prequalification process, and reject all applications
 - (c) your Agency shall not be liable for any such actions and shall be under no obligation to inform the Applicant of the grounds for them

6. Appended to this application, we give details of the participation of each party, including capital contribution and profit/loss agreements, in the joint venture or association. We also specify the financial commitment in terms of the percentage of the value of the <each> contract, and the responsibilities for execution of the <each> contract.
7. We confirm that if we bid, that bid, as well as any resulting contract, will be:
- (a) signed so as to legally bind all partners, jointly and severally; and
 - (b) submitted with a joint venture agreement providing the joint and several liability of all partners in the event the contract is awarded to us.
8. The undersigned declare that the statement made and the information provided in the duly completed application are complete, true, and correct in every detail.

Signed	Signed
Name	Name
For and on behalf of (name of Applicant or lead partner of a joint venture)	For and on behalf of (name of partner)

Signed	Signed
Name	Name
For and on behalf (name of Partner)	For and on behalf of (name of partner)

Signed	Signed
Name	Name
For and on behalf (name of Partner)	For and on behalf of (name of partner)

SECTION IV - STANDARD FORMS

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SECTION IV - STANDARD FORMS

Notes on completion of Standard Forms

- Application Form 1 - General information
This form is to be completed by all applicants. Where the applicant proposes to use sub-contractors the information should be supplied in this format. Where there is a joint venture, each partners shall complete the form
- Application Form 2 - General Experience Record
This form is to be completed by all applicants. Separate sheets should be used for each partner of a joint venture. Applicants are not required to enclose testimonials, certificates or publicity materials with their applications.
- Application Form 2A - Joint Venture Summary
This form is to be completed by joint venture applicants only.
- Application Form 3 - Particular Experience Record
This form is to be completed by all applicants meeting the requirement set out in the instructions to candidates. Separate sheets shall be used for each member of or joint venture. Complimentary information will be given on application Form 3A.
- Application Form 3A - Details of Contracts of similar nature and complexity
This form shall be completed by all applicants and will contain similar works completed by the applicant or a member of a joint venture

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Application Form 4 - Summary sheet. Contract commitments/work in progress

This form is to be completed by all applicants including each member of a joint venture. It shall contain the current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.

Application Form 5 - Personnel Capabilities

This form is to be completed by all applicants. It shall include specific positions essential to contract implementation. The applicants shall provide the names of at least two candidates qualified to meet the specified requirements stated for each position. The data on their experience shall be supplied on Form 5A

Application Form 5A - Candidate Summary

This form is to be completed by all applicants. The information provided will complement information on Form 5. A separate form shall be used for each personnel

Application Form 6 - Equipment Capability

This form is to be completed by all applicants. It should provide adequate information to demonstrate clearly that the applicant has the capability to meet the requirements for each and all items of equipment listed in the instructions to candidates. A separate form shall be prepared for each item of equipment or for alternative equipment proposed by the applicant.

Application Form 7 - Financial Capability

This form shall be completed by every applicant and each member of a joint venture. It should contained financial

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information to demonstrate that they meet the requirements stated in the instructions to candidates. If necessary separate sheets should be used to provide complete banker information. A copy of the audited balance sheet if available should be attached. The information should include the summary of actual assets and liabilities for the last five years.

Application Form 8 - Litigation History

This form is to be completed by all applicants including each member of a joint venture. It should provide information on any history of litigation or arbitration resulting from contracts executed in the last five years or currently under execution. A separate sheet should be used for each member of a joint venture

Application Form 9: Additional Qualification Requirements

These additional qualification requirements shall be provided by the applicants for the specified categories

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APPLICATION FORM (1) GENERAL INFORMATION

1.	Name of Applicant	
2.	Head office address	
3.	Physical Address (Town, Street, Building, Floor etc)	
4.	Telephone	Contact
5.	Fax	E-mail
6.	Place of incorporation/registration	Year of incorporation/registration

Nationality of owners (for Registered Companies)		
	Name	Nationality*
1.		
2.		
3.		
4.		
5.		

(Attach certified copies of the Identification Document)

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APPLICATION FORM (2) GENERAL EXPERIENCE RECORD

Name of Applicant or partner of a joint venture

Annual turnover data		
Year	Turnover	Kshs.
1.		
2.		
3.		
4.		
5.		

(This is NOT applicable for professional individual consultants)

APPLICATION FORM (2A) JOINT VENTURE SUMMARY (APPLICABLE TO JV ONLY)

Names of all partners of a joint venture
1. Lead partner
2. Partner
3. Partner
4. Partner
5. Partner
6. Partner

Total value of annual construction turnover, in terms of work billed to clients, in Kshs.

Annual turnover data (construction only: Kshs.
--

Partner	Form 2 Page no.	Year 1	Year 2	Year 3
1. Lead Partner				
2. Partner				
3. Partner				
4. Partner				
5. Partner				
6. Partner				
	Totals			

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**APPLICATION FORM (4) SUMMARY SHEET: CURRENT CONTRACT
COMMITMENTS/WORK IN PROGRESS**

Name of Applicant or partner of a joint venture

Name of contract	Value of outstanding work Kshs.	Estimated completion date
1.		
2.		
3.		
4.		
5.		
6.		

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APPLICATION FORM (5) PERSONNEL CAPABILITIES

Name of Applicant

1.	Title of position Name of candidate Academic qualification Professional qualification Years of experience (Attach detailed CV for each position using Form 5A)
2.	Title of position Name of candidate Academic qualification Professional qualification Years of experience (Attach detailed CV for each position)
3.	Title of position Name of candidate Academic qualification Professional qualification Years of experience (Attach detailed CV for each position using Form 5A)

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4.	<p>Title of position</p> <p>Name of candidate</p> <p>Academic qualification</p> <p>Professional qualification</p> <p>Years of experience</p> <p>(Attach detailed CV for each position)</p>
5.	<p>Title of position</p> <p>Name of candidate</p> <p>Academic qualification</p> <p>Professional qualification</p> <p>Years of experience</p> <p>(Attach detailed CV for each position using Form 5A)</p>
6.	<p>Title of position</p> <p>Name of candidate</p> <p>Academic qualification</p> <p>Professional qualification</p> <p>Years of experience</p> <p>(Attach detailed CV for each position using Form 5A)</p>
7.	<p>Title of position</p> <p>Name of candidate</p> <p>Academic qualification</p> <p>Professional qualification</p>

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	Years of experience (Attach detailed CV for each position using Form 5A)
--	---

(List all the managerial and technical personnel and indicate their position or expertise)

APPLICATION FORM (5A) CANDIDATE SUMMARY

Name of Applicant

Position		Candidate * Prime * Alternate
Candidate information	1. Name of candidate	2. Date of birth
	3. Professional qualifications	
Present employment	4. Name of employer	
	5. Address of employer	
Telephone		Contact (manager/personnel officer)
Fax		E mail
Job title of candidate		Years with present employer

Summarize professional experience over the last 20 years, in reverse chronological order. Indicate particular technical and managerial experience relevant to the Project.

From	To	Company/Project/Position/Relevant technical and management experience

(Provide detailed CVs for all the list managerial and technical personnel)

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APPLICATION FORM (6) EQUIPMENT CAPABILITIES

Name of Applicant

Item of equipment		
Equipment information	1. Name of manufacturer	2. Model and power rating
	3. Capacity	4. Year of manufacturer
Current status	5. Current location	
	6. Details of current commitments	
Source	7. Indicate source of the equipment	
	* Owned * Rented * Leased * Specially manufactured	

Omit the following information for equipment owned by the Applicant or partner

Owner	8. Name	
	
	9. Address of owner	
	
	Telephone	Contact name and title
Fax	Email	

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Agreements	Details or rental/lease/manufacture agreements specific to the project
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APPLICATION FORM (7) FINANCIAL CAPABILITY

Name of Applicant or partner of a joint venture

Banker	Name of banker
	Address of banker
	Telephone Contact name and title
	Fax E mail

Financial information in Kshs.	Actual : previous 2 years		Projected: next 1 year	
	1.	2.	3.	4.
1. Total assets				
2. Current assets				
3. Total liabilities				
4. Current liabilities				
5. Profits before taxes				
6. Profits after taxes				

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Source of finance	Amount Kshs.
1.	
2.	
3.	
4.	

(Attach certified copies of audited financial statements for the last three years for registered companies)

APPLICATION FORM (8) LITIGATION HISTORY

Name of Applicant or partner of a joint venture

Applicants, including each of the partners of a joint venture, should provide information of any history of litigation or arbitration resulting from contracts executed in the last five years or currently under execution (Instructions to Applicants, para. 4.8). A separate sheet should be used for each partner of a joint venture.

Year	Award FOR or AGAINST Applicant	Name of client, cause of litigation, and matter in dispute	Disputed amount (current value Kshs.)

(This form MUST be completed by all applicants)

APPLICATION FORM (9) ADDITIONAL QUALIFICATION REQUIREMENTS

1. General Background:

The National Irrigation Board (NIB) is a Government parastatal established in 1966 by an Act of Parliament, Chapter 347 of the Laws of Kenya, and whose mandate is the development, promotion and improve management of all national irrigation schemes in the country. In line with this mandate, the Board is currently managing seven national irrigation schemes, planning to develop over 200,000ha within the next five years in various regions of the country. The seven schemes are Mwea, Perkerra, Hola, Ahero, West Kano, Bunyala and Bura while the research stations are Mwea Irrigation Agricultural Development Centre, Ahero Irrigation Research Station, Hola Irrigation Research Station and Bura Irrigation Research Station.

2. Project Description:

In 2016/17, arid and semi-arid counties were hard hit by drought and experienced a decline in food and livestock production as well as water supply. This has been a recurring phenomenon that perpetually leave the affected communities very vulnerable. Therefore, there is need to provide localised access to water solutions to build resilience against drought for these communities as well as provide for their livelihoods and wellbeing. In addition, most of the food producing counties suffer heavy yield losses as a result of early cessation of rains crippling the production capacity for farmers in subsequent seasons.

Learning from the Operation Mwolyo Out (OMO) initiative in Yatta and the pilot phase of the project, it has been demonstrated that using a combination of a localized reservoir and efficient irrigation system households can sustain their livelihoods in arid areas. The proposed crops to be grown using the installed system include maize (green maize), tomatoes, onions, capsicums, bananas and water melons. It is projected that productivity for all the other proposed crops will increase by more than 100%; with tomatoes being the highest at 240%. This way the project will increase food security access to water for the benefiting households thus contributing to the “BIG FOUR” agenda of the government.

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3. Request for Prequalification of Contractors

The Board now invites sealed prequalification of contractors from eligible and qualified firms/joint ventures to undertake CONSTRUCTION WORKS OF HOUSEHOLD IRRIGATION WATER STORAGE PROJECT PHASE 2, REPUBLIC OF KENYA categorised per counties as follows:

1.Mombasa.	17.Makueni.	33.Narok.
2.Kwale.	18.Nyandarua.	34.Kajiado.
3.Kilifi.	19.Nyeri.	35.Kericho.
4.Tana River.	20.Kirinyaga.	36.Bomet.
5.Lamu.	21.Murang'a.	37.Kakamega.
6.Taita Taveta.	22.Kiambu.	38.Vihiga.
7.Garissa.	23.Turkana.	39.Bung'oma.
8.Wajir.	24.West Pokot.	40.Busia.
9.Mandera.	25.Samburu.	41.Siaya.
10.Marsabit.	26.Trans Nzoia.	42.Kisumu.
11.Isiolo.	27.Uasin Gishu.	43.Homa Bay.
12.Meru.	28.Elgeyo/Marakwet.	44.Migori.
13.Tharaka Nithi.	29.Nandi.	45. Kisii.
14.Embu.	30.Baringo.	46. Nyamira.
15.Kitui.	31.Laikipia.	47. Nairobi.
16.Machakos.	32.Nakuru.	

4. Project outline

4.1 Scope of the works

To construct the water ponds 25x30x4M (the dimensions vary from site to site) to hold an approximate volume of 3000m³ excavated along the drainage channels of the targeted farm. Excavation will be done using hydraulic excavators.

- i. Mobilization of equipment to respective sites
- ii. The reservoirs will be constructed at household level, hence maximum distance of equipment from one site to the other is approx.2km.
- iii. Excavation will be done in normal soil material using excavators.
- iv. A trapezoidal shape of the ponds must be attained.

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- v. It will take on average 13hrs to complete excavation and shaping of the 1000m³ reservoir. The spoil material is to be spread around the pond except along the inflow edge to clearly delineate its location as well as remove haulage costs.
- vi. Provision of 2m walk way distance between the embankment and the edge of the reservoir.
- vii. To complete the works, it is projected that contractor must have capacity to mobilize five excavators of 148hp or higher.

For areas where land ownership is communal, reservoirs will be constructed in a series along a water way. The capacities will vary from 5,000m³ to 15,000m³.

5. Period of Execution

The construction works per county should be completed within 9 calendar months.

6. Qualification criteria

Interested firms must provide information indicating that they are qualified to perform the services by providing the following

- (a) Submit certified registration documents to prove their legal status;
- (b) The bidder should have a registered office in the target county or experience in carrying out works within the target county. A bidder can be interested in a maximum of 3 counties.
- (c) Experience as main contractor in earth works of similar nature and complexity in the last 2 year in the interested counties (to comply with this requirement, works cited should be at least 70 percent complete); (Documentary evidence in form of Employer's reference or Certificate of Completion ONLY MUST be provided),
- (d) Experience as contractor of 1 year is required for firms registered under the special group i.e Youth, Women and Persons with Disability.
- (e) Availability of appropriate skilled, qualified and experienced Construction Manager, Construction Supervisors, equipment operators and Foreman etc (provide duly certified copies)
- (f) Proof of ownership of the essential equipment required for the works must be provided. i.e Hydraulic excavators (148hp or more) and Fuel Tanker 2000l or more. Certified Audited Financial Statements for the last 1 year

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- (g) Provide a letter of recommendation from its bankers;
- (h) A commitment to construct within a the specified periods of 9 calendar months

This invitation for pre-qualification is open exclusively to contractors registered/incorporated in Kenya with whole ownership by citizens of Kenya. Citizen firms may only associate or form joint venture with other non-citizen contractors registered/incorporated in Kenya to enhance their capacity to meet the requirements for the prequalification (s) provided that the citizen contractors have majority shareholding in the formed association/joint venture. Citizen contractors associating or forming joint venture with other non-citizen contractors registered/incorporated in Kenya must clearly indicate so and provide documentary evidence with their application. Any contractors associating/forming joint venture in contravention of this requirement shall automatically be disqualified. A candidate may associate with other firms to enhance the value and quality of their works. The association may take the form of a joint venture (with jointly and severally liable to the client for all Contractor's obligations) or a sub-contracting. If bidder intend to associate with other firms, they should clearly state in their application the composition and form of the association.

The candidates will be prequalified in accordance with the qualification criteria set out in the tender document, provisions of Public Procurement and Disposal Act 2015 and subsequent Public Procurement and Disposal Regulations, which can be found at the following website: <http://www.ppoa.go.ke>. "

7. Clarification

The interested parties may request for clarifications on the prequalification of contractors up to seven (7) days before submission date. Any request for clarification must be sent in writing by paper, mail, fax mail, or electronic mail. Interested bidders may obtain further information at the address below from 0815 to 1700 hours from Monday to Friday excluding public holidays. Candidates who intend to submit their documents MUST promptly submit their names, interested county and contact details to: purchasing@nib.or.ke for communication of any clarification(s) and addendum (s) during the tendering process.

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8. The address referred to above is:

Physical Address:

General Manager
National Irrigation Board (NIB)
Unyunyizi House, First Floor, Room 309
Lenana Road, Hurlingham
Nairobi, Kenya
Tel: +254-20-2711380/468
Fax: +254-20-2722821/2711347
E-mail: enquiries@nib.or.ke, purchasing@nib.or.ke